

Report Type: Nominee Report

Year (Annual Report only):

Date of Appointment:

Date of Termination:

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Mullin, Markwayne

Secretary, Department of Homeland Security

Other Federal Government Positions Held During the Preceding 12 Months:

United States Senator, US Congress (1/2023 - Present)

Names of Congressional Committees Considering Nomination:

- Committee on Homeland Security and Governmental Affairs
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Mullin, Markwayne [electronically signed on 03/10/2026 by Mullin, Markwayne in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ O'Connor, Michael, Certifying Official [electronically signed on 03/17/2026 by O'Connor, Michael in Integrity.gov]

Other review conducted by

/s/ O'Connor, Michael, Ethics Official [electronically signed on 03/17/2026 by O'Connor, Michael in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Bortot, Deborah, Certifying Official [electronically signed on 03/17/2026 by Bortot, Deborah in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	The Markwayne Mullin and Christie Renee Mullin Living Trust, dated June 22, 2010	Tulsa, Oklahoma	Trust	Trustee	6/2010	Present
2	Mullin Properties LLC	Broken Arrow, Oklahoma	Residential/Commercial rental company	Managing Member/Registered Agent	8/2007	Present
3	Mullin Realty LLC	Broken Arrow, Oklahoma	Residential/Commercial rental company	Managing Member/Registered Agent	2/2022	Present
4	Mullin Ranch LLC	Westville, Oklahoma	Cattle Ranch	Managing Member/Registered Agent	2/2022	Present
5	Mullin Properties West Division LLC	Moore, Oklahoma	Residential/Commercial rental company	Managing Member/Registered Agent	5/2012	Present
6	Allotment Services, LLC	Broken Arrow, Oklahoma	Real estate management company	Managing Member	11/2022	Present
7	Circle 6 Rodeo LLC (underlying assets not reportable)	Broken Arrow, Oklahoma	Domestic Limited Liability Corporation	Managing Member	10/2024	Present
8	M8 Holdings LLC (inactive)	Westville, Oklahoma	Domestic Limited Liability Corporation	Registered Agent	4/2022	Present
9	M8 Holdings II LLC (inactive)	Westville, Oklahoma	Domestic Limited Liability Corporation	Registered Agent	4/2022	Present
10	M8 Holdings IV LLC (inactive)	Westville, Oklahoma	Domestic Limited Liability Corporation	Registered Agent	4/2002	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
11	Legacy RV Resort LLC (inactive)	Tulsa, Oklahoma	Domestic Limited Liability Corporation	Registered Agent	3/2025	Present
12	Mullin Family HoldCo LLC	Westville, Oklahoma	Domestic Limited Liability Corporation	Managing Member	12/2021	Present
13	Mullin Plumbing New Construction Inc.(inactive)	Tulsa, Oklahoma	Domestic Limited Liability Corporation	Registered Agent	5/2005	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Roth IRA	No			
1.1	First Trust Cap Strength Oppty 38 SA RE	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	First Trust Target Dividend Double Play, 3rd Qtr 2025 (FUTJKX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	Rollover IRA	No			
2.1	Adobe, Inc. (ADBE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.2	Amkor Technology, Inc. (AMKR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.3	APi Group Corporation Common Stock (APG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.4	Citigroup, Inc. (C)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.5	Carpenter Technology Corp. (CRS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.6	Firstcash Holdings Inc (FCFS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.7	Stride Inc (LRN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.8	McKesson Corp. (MCK)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.9	Monolithic Power Systems, Inc. (MPWR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.10	VSE Corp. (VSEC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.11	U.S brokerage (cash account)	N/A	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Mullin Properties LLC	Westville, Oklahoma	Health insurance provided through Mullin Properties LLC.	1/2022

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Mullin Properties LLC	Broken Arrow , Oklahoma	Managing Member/Registered Agent
2	Allotment Services LLC	Broken Arrow, Oklahoma	Managing Member

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Roth IRA	No			
1.1	First Trust Target Dividend Double Play, 3rd Qtr. 2025 (FUTJKX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	Rollover IRA	No			
2.1	Adobe, Inc. (ADBE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.2	Amkor Technology, Inc. (AMKR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.3	APi Group Corporation Common Stock (APG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.4	Citigroup, Inc. (C)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.5	Carpenter Technology Corp. (CRS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.6	Firstcash Holdings Inc (FCFS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.7	Stride Inc (LRN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.8	McKesson Corp. (MCK)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.9	Monolithic Power Systems, Inc. (MPWR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.10	VSE Corp. (VSEC)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.11	U.S. brokerage (cash account)	N/A	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Brokerage Account #1	No			
1.1	First Trust American Strength 14 SA RE	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
2	Brokerage Account #2	No			
2.1	First Trust American Strength 14 SA RE	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
3	Brokerage Account #3	No			
3.1	First Trust American Strength 14 SA RE	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
4	Brokerage Account #4	No			
4.1	First Trust American Strength 14 SA RE	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
4.2	Motley Fool 100 Index ETF (TMFC)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
5	Brokerage Account #5	No			
5.1	First Trust American Strength 14 SA RE	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
6	Brokerage Account #6	No			
6.1	First Trust American Strength 14 SA RE	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	Brokerage Account #7	No			
7.1	Adobe, Inc. (ADBE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.2	Amkor Technology, Inc. (AMKR)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.3	APi Group Corporation Common Stock (APG)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.4	Citigroup, Inc. (C)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.5	Carpenter Technology Corp. (CRS)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
7.6	Firstcash Holdings Inc. (FCFS)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
7.7	Stride Inc (LRN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.8	McKesson Corp. (MCK)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
7.9	Monolithic Power Systems, Inc. (MPWR)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.10	ONEOK, Inc. (OKE)	N/A	\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000
7.11	VSE Corp. (VSEC)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
7.12	State Street SPDR Portfolio S&P 400 Mid Cap ETF (SPMD)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
7.13	State Street SPDR Portfolio S&P 600 Small Cap ETF (SPSM)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
7.14	State Street SPDR S&P 500 ETF (SPY)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.15	U.S. brokerage (cash account)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.16	First Trust S&P Div Arst TGT 25 3Q24 MO FE RS	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
7.17	First Trust Cap Strength Oppty 34 SA FE RE	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
7.18	Applied Industrial Tech Inc (AIT)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
7.19	Coherent, Inc. (COHR)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
7.20	Credo Technology Group Holding Ltd Ordinary Shares (CRDO)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
7.21	Dell Technologies Inc CL C (DELL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
7.22	Goldman Sachs Group Inc (GS)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
7.23	Ishares Russell 1000 ETF (IWB)	Yes	None (or less than \$1,001)		\$201 - \$1,000
7.24	Mastec Inc (MTZ)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
7.25	JPMorgan Liquid Asset MMKT Fund Premier	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
7.26	Iron Mountain Inc (IRM)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
8	Brokerage Account #8	No			
8.1	Vanguard Treasury Money Market Fund Investor Shares (VUSXX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
9	Brokerage Account #9	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.1	Cavanal Hill Government Securities Money Market Fund Select Class Shares (APSXX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
9.2	Humphreys Fund I REIT	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
10	Mullin Properties LLC	No			
10.1	Note Receivable from Xtreme Boat & RV LLC	N/A	\$1,000,001 - \$5,000,000	Interest	\$100,001 - \$1,000,000
10.2	Commercial real estate, Broken Arrow, OK	N/A	\$100,001 - \$250,000	Rent or Royalties	\$50,001 - \$100,000
10.3	Commercial real estate, Broken Arrow, OK	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
10.4	Commercial real estate, Broken Arrow, OK	N/A	\$50,001 - \$100,000	Rent or Royalties	\$2,501 - \$5,000
10.5	Commercial real estate, Moore, OK	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$50,001 - \$100,000
10.6	Commercial real estate, Oklahoma City, OK	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
10.7	Residential real estate, Westville, OK	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
10.8	Residential real estate, Westville, OK	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
10.9	Residential real estate, Westville, OK	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
10.10	Residential real estate, Westville, OK	N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
10.11	Undeveloped land, Broken Arrow, OK	N/A	\$250,001 - \$500,000		None (or less than \$201)
10.12	Undeveloped land, Stillwell, OK	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.13	Undeveloped land, Westville, OK	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.14	Undeveloped land, Westville, OK	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.15	Undeveloped land, Westville, OK	N/A	\$100,001 - \$250,000		None (or less than \$201)
10.16	Undeveloped land, Westville, OK	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.17	Undeveloped land, Westville, OK	N/A	\$250,001 - \$500,000		None (or less than \$201)
10.18	Undeveloped land, Westville, OK	N/A	\$50,001 - \$100,000		None (or less than \$201)
10.19	Undeveloped land, Westville, OK	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.20	Undeveloped land, Westville, OK	N/A	\$100,001 - \$250,000		None (or less than \$201)
10.21	Residential real estate, Broken Arrow, OK	N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
11	Mullin Realty LLC	No			
11.1	Residential real estate, Washington, DC	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$50,001 - \$100,000
11.2	Residential real estate, Bixby, OK	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
12	Mullin Ranch LLC	No			
12.1	Cattle Ranch, Westville, OK	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
13	Mullin Family Holdco LLC	See Endnote	No		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.1	COP Hometown Parent Inc.	No	\$5,000,001 - \$25,000,000		None (or less than \$201)
13.1.1	HomeTown Services (HVAC, plumbing, electrical repair and replacement)	N/A			
14	Mullin Properties West Division LLC	No			
14.1	VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF (VWO)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
14.2	VANGUARD INDEX FDS VANGUARD MID-CAP ETF (VO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.3	VANGUARD INDEX FDS VANGUARD VALUE ETF (VTV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.4	PRINCIPAL REAL ESTATE SECURITIES FUND INSTITUTIONAL CLASS (PIREX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.5	NORTHWESTERN MUTUAL FDIC INSURED PROGRAM (NMFD)	See Endnote	N/A	\$1,001 - \$15,000	None (or less than \$201)
14.6	ISHARES INC MSCI EUROZONE ETF (EZU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.7	COLUMBIA OVERSEAS VALUE FUND INSTITUTIONAL CLASS (COSZX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
14.8	PIMCO COMMODITIES PLUS STRATEGY FUND CLASS I2 (PCLPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.9	GOLDMAN SACHS INTERNATIONAL SMALL-CAP INSIGHTS FUND INSTITUTIONAL CLASS (GICIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.10	INVESCO QQQ TR UNIT SER 1 (QQQ)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
14.11	ISHARES TR MSCI USA VALUE FACTOR ETF (VLUE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.12	FIDELITY ADVISOR FOCUS EMERGING MARKETS FUND CLASS I (FIMKX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.13	INVESCO SMALL-CAP VALUE FUND CLASS Y (VSMIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
14.14	SPDR S&P MIDCAP 400 ETF TR UNIT SER 1 STANDARD & POORS DEP RCPT (MDY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.15	NUVEEN LIMITED-TERM MUNICIPAL BOND FUND CLASS I (FLTRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.16	INVESCO EXCHANGE-TRADED FD TR S&P 500 EQUAL WEIGHT ETF (RSP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.17	ISHARES TR 20+ YR TREAS BD ETF (TLT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.18	ISHARES TR CORE MSCI EAFE ETF (IEFA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.19	BLACKROCK STRATEGIC MUNICIPAL OPPORTUNITIES FUND INSTITUTIONAL CLASS (MAMTX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
14.20	FIDELITY ADVISOR INTERMEDIATE MUNICIPAL INCOME FUND CLASS I (FZIIIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.21	ISHARES TR CORE S&P 500 ETF (IVV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.22	VANGUARD MUN BD FDS TAX-EXEMPT BD INDEX FD ETF (VTEB)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
14.23	Dodge & Cox International Stock Fund (DODFX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
14.24	Dodge & Cox Stock Fund (DODGX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
14.25	iShares Russell 1000 ETF (IWB)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
14.26	John Hancock Multifactor Mid Cap ETF (JHMM)	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.27	New World Fund, Inc Class F2 Shares (NFFFX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
14.28	PIMCO Income Fund Class I-2 Shares (PONPX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
14.29	Schwab International Equity ETF (SCHF)	Yes	None (or less than \$1,001)		\$201 - \$1,000
14.30	VanEck Funds CM Commodity Index Fund Class Y Shares (CMCYX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
14.31	Victory Market Neutral Income Fund Class I Shares (CBHIX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
14.32	Residential real estate, Westville, OK	N/A	\$100,001 - \$250,000	Rent or Royalties	\$2,501 - \$5,000
14.33	Residential real estate, Moore, OK	N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
14.34	Residential real estate, Norman, OK	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
14.35	Residential real estate, Moore, OK	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
14.36	Residential real estate, Moore, OK	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
14.37	Residential real estate, Moore, OK	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
14.38	Residential real estate, Moore, OK	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
14.39	Residential real estate, Moore, OK	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
14.40	Residential real estate, Oklahoma City, OK	N/A	\$15,001 - \$50,000	Rent or Royalties	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.41	Residential real estate, Moore, OK	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
14.42	Residential real estate, Norman, OK	N/A	\$100,001 - \$250,000		None (or less than \$201)
14.43	Residential real estate, Moore, OK	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
14.44	Residential real estate, Oklahoma City, OK	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
14.45	Residential real estate, Oklahoma City, OK	N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
14.46	Residential real estate, Inola, OK	N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
14.47	Undeveloped land, Wanette, OK	N/A	\$100,001 - \$250,000		None (or less than \$201)
14.48	Undeveloped land, Byars, OK	N/A	\$100,001 - \$250,000		None (or less than \$201)
14.49	Undeveloped land, Versailles, MO	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
15	TC4 Investments LLC	No			
15.1	Residential Rental Property, Pryor, OK	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
15.2	Land Held for Investment, Coweta, OK	N/A	\$100,001 - \$250,000		None (or less than \$201)
15.3	Land Held for Investment, Broken Arrow, OK	N/A	\$250,001 - \$500,000		None (or less than \$201)
15.4	Land Held for Investment, Pryor, OK	N/A	\$250,001 - \$500,000		None (or less than \$201)
15.5	Land Held for Investment, Sand Springs, OK	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	Mullin Family Investments LLC	No			
16.1	ALPHABET INC CL A (GOOGL)	N/A	\$250,001 - \$500,000	Dividends	\$201 - \$1,000
16.2	AMAZON COM INC COM (AMZN)	N/A	\$250,001 - \$500,000		None (or less than \$201)
16.3	AMERICAN EXPRESS CO COM (AXP)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
16.4	APPLE INC COM (AAPL)	N/A	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500
16.5	APPLIED MATLS INC COM (AMAT)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
16.6	BLACKROCK INC NEW COM (BLK)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
16.7	BOSTON SCIENTIFIC CORP COM (BSX)	N/A	\$50,001 - \$100,000		None (or less than \$201)
16.8	CAPITAL ONE FINL CORP COM (COF)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
16.9	CATERPILLAR INC COM (CAT)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
16.10	CHEVRON CORP NEW COM (CVX)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
16.11	COCA COLA CO COM (KO)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
16.12	CONOCOPHILLIPS COM (COP)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
16.13	COSTCO WHSL CORP NEW COM (COST)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
16.14	DEERE & CO COM (DE)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.15	Elevance Health Inc. Common Stock (ELV)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
16.16	EMERSON ELEC CO COM (EMR)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
16.17	GOLDMAN SACHS GROUP INC COM (GS)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
16.18	HILTON WORLDWIDE HLDGS INC COM NEW (HLT)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
16.19	HOME DEPOT INC COM (HD)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
16.20	INTERCONTINENTAL EXCHANGE INC COM (ICE)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
16.21	INTUITIVE SURGICAL INC COM NEW (ISRG)	N/A	\$50,001 - \$100,000		None (or less than \$201)
16.22	IQVIA HLDGS INC COM (IQV)	N/A	\$50,001 - \$100,000		None (or less than \$201)
16.23	ISHARES TR CORE S&P SMALL-CAP ETF (IJR)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
16.24	JPMORGAN CHASE & CO COM (JPM)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
16.25	KLA CORP COM (KLAC)	N/A	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500
16.26	L3HARRIS TECHNOLOGIES INC COM (LHX)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
16.27	LAM RESH CORP COM NEW (LRCX)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
16.28	LILLY ELI & CO COM (LLY)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.29	LPL FINL HLDGS INC COM (LPLA)		N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
16.30	MASTERCARD INC CL A (MA)		N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
16.31	Meta Platforms Inc. Class A Common Stock (META)		N/A	\$250,001 - \$500,000	Dividends	\$201 - \$1,000
16.32	MICROSOFT CORP COM (MSFT)		N/A	\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000
16.33	NORTHWESTERN MUTUAL FDIC INSURED PROGRAM (NMFD)	See Endnote	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
16.34	NVIDIA CORP COM (NVDA)		N/A	\$250,001 - \$500,000		None (or less than \$201)
16.35	PALO ALTO NETWORKS INC COM (PANW)		N/A	\$50,001 - \$100,000		None (or less than \$201)
16.36	PARKER-HANNIFIN CORP COM (PH)		N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
16.37	PENTAIR PLC SHS ISIN#IE00BLS09M33 (PNR)		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16.38	PHILIP MORRIS INTL INC COM (PM)		N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
16.39	PROCTER & GAMBLE CO COM (PG)		N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
16.40	RTX CORP COM (RTX)		N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
16.41	S&P GLOBAL INC COM (SPGI)		N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
16.42	SALESFORCE INC COM (CRM)		N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.43	SCHWAB STRATEGIC TR US REIT ETF (SCHH)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
16.44	SERVICENOW INC COM (NOW)	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.45	SHERWIN-WILLIAMS CO COM (SHW)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
16.46	THERMO FISHER SCIENTIFIC INC COM (TMO)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16.47	TJX COS INC NEW COM (TJX)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
16.48	UNION PAC CORP COM (UNP)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
16.49	UNITEDHEALTH GROUP INC COM (UNH)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
16.50	VALERO ENERGY CORP NEW COM (VLO)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
16.51	VANGUARD INDEX FDS REAL ESTATE INDEX FD ETF ((VNQ)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
16.52	VANGUARD INTL FD FTSE DEVELOPED MKTS ETF (VEA)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
16.53	VANGUARD WORLD FDS VANGUARD UTILS ETF (VPU)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
16.54	VISA INC COM CL A (V)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
16.55	INVESCO ACTIVELY MANAGED EXCHANGE-TRADED COMMODITY FD OPTIMUM DIVSF COMMODITY STRATEGY NO K1 ETF (PDBC)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
16.56	COHEN & STEERS REAL ESTATE SECURITIES FUND CLASS I (CSDIX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.57	SPDR S&P MIDCAP 400 ETF TR UNIT SER 1 STANDARD & POORS DEP RCPT (MDY)		Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
16.58	FIDELITY ADVISOR FOCUS EMERGING MARKETS FUND CLASS I (FIMKX)		Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
16.59	ISHARES INC MSCI EUROZONE ETF (EZU)		Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
16.60	AMERICAN GROWTH FUND OF AMERICA CLASS F2 (GFFFX)		Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
16.61	GOLDMAN SACHS INTERNATIONAL SMALL-CAP INSIGHTS FUND INSTITUTIONAL CLASS (GICIX)		Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
16.62	VANGUARD INDEX FDS VANGUARD SMALL-CAP ETF (VB)		Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
16.63	VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF (VWO)		Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
16.64	T. ROWE PRICE BLUE CHIP GROWTH FUND (TRBCX)		Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
16.65	VANGUARD INDEX FDS VANGUARD MID-CAP ETF (VO)		Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
16.66	ISHARES TR CORE MSCI EAFE ETF (IEFA)		Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
16.67	NORTHWESTERN MUTUAL FDIC INSURED PROGRAM	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
16.68	SALEM N H GO BDS 4.000% 10/01/36 B/E DTD 10/01/24		N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
16.69	ALAMOGORDO N MEX MUN SCH DIST NO 001 GO BDS 2023 A 5.000% 08/01/27 B/E DTD 10/19/23		N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.70	AUSTIN TEX CTFS OBLIG 2023 5.000% 09/01/38 B/E DTD 10/10/23		N/A	\$1,001 - \$15,000	Capital Gains Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.71	CLEAR CREEK TEX INDPT SCH DIST UNLTD TAX REF BDS 2023 5.000% 02/15/38 B/E DTD 11/15/23	N/A	\$15,001 - \$50,000	Capital Gains Interest	\$5,001 - \$15,000
16.72	COOK CNTY ILL CMNTY CONS SCH DIST NO 015 PALATINE GO BDS 2023A 5.250% 12/15/39 B/E DTD 11/02/23	N/A	\$15,001 - \$50,000	Capital Gains Interest	\$2,501 - \$5,000
16.73	CORSICANA TEX COMBINATION TAX AND REV CTFS OBLIG 2025 4.000% 02/15/42 B/E DTD 11/25/25	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.74	FLORIDA ST DEPT GEN SVCS DIV FACS MGMT REV POOL REV REF BDS 2017A 4.000% 09/01/30 B/E DTD 08/24/17	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.75	FORT LAUDERDALE FLA WTR & SWR REV BDS PROSPECT LAKE WTR TREATMENT 2023 B 5.000% 09/01/38 B/E DTD 10/19/23	N/A	\$1,001 - \$15,000	Capital Gains Interest	\$5,001 - \$15,000
16.76	FORT SMITH ARK WTR & SWR REV REF CONSTR BDS 2018 5.000% 10/01/32 B/E DTD 09/13/18	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.77	IPS MULTI-SCH BLDG CORP IND UNLTD AD VALOREM PPTY TAX FIRST MTG BDS 2023 5.000% 07/15/38 B/E DTD 10/04/23	N/A	\$15,001 - \$50,000	Capital Gains Interest	\$5,001 - \$15,000
16.78	KENTUCKY ST PPTY & BLDGS COMMN REVS BDS PROJ NO.112 B 5.000% 11/01/27 B/E DTD 03/23/16	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16.79	MACOMB INTER SCH DIST MICH UNLTD TAX GO BLDG SITE BDS 2023 5.000% 05/01/38 B/E DTD 10/17/23	N/A	\$1,001 - \$15,000	Capital Gains Interest	\$2,501 - \$5,000
16.80	MASSACHUSETTS ST DEV FIN AGY REV BDS TUFTS MEDICINE INC 2020 C 5.000% 10/01/31 B/E DTD 07/16/20	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.81	METROPOLITAN TRANSN AUTH N Y DEDICATED TAX FD GREEN FD BDS B-1 GREEN BOND 5.000% 11/15/36 B/E DTD 05/26/16	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.82	NEVADA ST LTD TAX GO CAP IMPT BDS 2023A 5.000% 05/01/38 B/E DTD 11/01/23	N/A	\$1,001 - \$15,000	Capital Gains Interest	\$5,001 - \$15,000
16.83	SEATTLE WASH DRAIN & WASTEWATER REV REF REV BDS 2014 4.000% 05/01/39 B/E DTD 07/10/14	N/A	\$15,001 - \$50,000	Capital Gains Interest	\$201 - \$1,000
16.84	UNIVERSITY HOUSTON TEX UNIV REVS CONSOLIDATED REV AND REF BDS 2017 A 5.000% 02/15/33 B/E DTD 02/01/17	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.85	WEST PALM BEACH FLA CMNTY REDEV AGY TAX INCREMENT REV REF BDS CITY CTR CMNTY 5.000% 03/01/33 B/E DTD 07/23/19	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
16.86	Accenture Plc (ACN)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
16.87	CSX Corp. (CSX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
16.88	iShares US Healthcare Providers ETF (IHF)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
16.89	PIMCO CommoditiesPLUS Strategy Fund Class I-2 Shares (PCLPX)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
16.90	SPDR S&P 500 ETF Trust (SPY)	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
16.91	Texas Instruments Incorporated (TXN)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
16.92	Zoetis, Inc. (ZTS)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.93	Abbott Laboratories (ABT)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
16.94	Berkshire Hathaway Inc. (BRKB)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
16.95	Honeywell International, Inc. (HON)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
16.96	iShares Russell 1000 ETF (IWB)	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
16.97	T-Mobile US, Inc. (TMUS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
16.98	iShares Core S&P Small-Cap ETF (IJR)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
16.99	PepsiCo, Inc. (PEP)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
16.100	New York NY City Mun Wtr Fin Auth Wtr & Swr Rev Bds	N/A	None (or less than \$1,001)	Capital Gains Interest	\$201 - \$1,000
16.101	Northern Ill Mun Pwr Proj Rev Re V Ref BDS Prairie St	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
16.102	Upton Cnty Tex Tax N TS 2023	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.103	Waxahachie Tex Indpt Sch Dist Ultd Tax Ref BDS 2015	N/A	None (or less than \$1,001)	Capital Gains Interest	\$2,501 - \$5,000
16.104	New York NY City Mun Wtr Fin Auth Wtr & Swr Rev Bds	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
16.105	New York NY City Mun Wtr Fin Auth Wtr & Swr Rev Bds	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
16.106	AutoZone, Inc. (AZO)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	Dreyfus Government Cash Management Wealth Shares Fund (DGQXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
18	Northwestern Mutual, whole life	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
19	Provident Trust Group, whole life	N/A	\$250,001 - \$500,000		None (or less than \$201)
20	U.S. bank (cash account)	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
21	Provident Trust Group, whole life	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
22	K5 HS Holdco LLC	No			
22.1	HistoSonics, Inc. (healthcare technology)	N/A	\$100,001 - \$250,000		None (or less than \$201)
23	Allotment Services LLC	No			
23.1	Apres Spectrum LLC (Wastewater Treatment and Water Testing)	See Endnote	\$1,000,001 - \$5,000,000	business income and interest	\$89,498
24	Northwestern Mutual, whole life	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
25	Botanic Tonics LLC (wellness tonics)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
26	Family Trust #1 - 3.2% interest in Mullin Family Holdco LLC - value and income reported at Line 13	No			
27	Family Trust #2 - 3.2% interest in Mullin Family Holdco LLC - value and income reported at Line 13	No			
28	Family Trust #3 - 3.2% interest in Mullin Family Holdco LLC - value and income reported at Line 13	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	Family Trust #4 - 3.2% interest in Mullin Family Holdco LLC - value and income reported at Line 13	No			
30	Family Trust #5 - 3.2% interest in Mullin Family Holdco LLC - value and income reported at Line 13	No			
31	Family Trust #6 - 3.2% interest in Mullin Family Holdco LLC - value and income reported at Line 13	No			

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	American Bank & Trust	Business Loan	\$1,000,001 - \$5,000,000	2025	6.25%	12 mo
2	Bank of NY, NA	Exercised Line of Credit	\$5,000,001 - \$25,000,000	2024	6.09098%	Demand, open ended term
3	Arvest Bank	Advancing/Term Loan	\$1,000,001 - \$5,000,000	2025	WSJP, floating 6.75%	78 Months
4	Arvest Bank	Advancing/Term Loan	\$1,000,001 - \$5,000,000	2024	WSJP, floating 6.75%	78 months
5	Arvest Bank	Advancing/Term Loan	\$500,001 - \$1,000,000	2026	WSJP floating 6.75%	78 months

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
6	Arvest Bank	Advancing/Term Loan	\$1,000,001 - \$5,000,000	2026	WSJP, floating 6.75%	78 months

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
6.	13	Mullin Family HoldCo LLC is a minority owner of COP Hometown Parent LLC. COP Hometown Parent LLC owns HomeTown Services.
6.	14.5	The program uses the banks listed in the document included in the link. Filer does not know which banks are used for his deposits. https://totalbanksolutions.com/resources-idp-nwm-bank-list/
6.	16.33	The program uses the banks listed in the document included in the link. Filer does not know which banks are used for his deposits. https://totalbanksolutions.com/resources-idp-nwm-bank-list/
6.	16.67	The program uses the banks listed in the document included in the link. Filer does not know which banks are used for his deposits. https://totalbanksolutions.com/resources-idp-nwm-bank-list/
6.	23.1	Income estimated based on the 2024 K-1. For 2025, the Schedule K-1 has not been received.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
